

Governance and Audit Workplan to end of 2020 as at 14 February 2020

Purpose:

This report provides a summary.

Recommendation:

1. That members note

Date	Title	Lead Officer	Purpose of the report	Date First Published
10 MARCH 2020				
10 Mar 2020	Internal Audit Draft Annual Plan			
10 Mar 2020	Accounts Closedown 2019/20 - Accounting Matters	Caroline Capon, Corporate Finance Team Leader	To review and approve the accounting policies actuary assumptions and materiality levels that will be used for the preparation of the 2018/19 accounts. For the External Auditor to explain the process of the External Audit of the Statement of Accounts and approach to the Value for Money Audit 2018/19.	10 June 2019
14 APRIL 2020				
14 Apr 2020	Internal Audit Charter	James Welbourn, Democratic and Civic Officer	IA Charter for 20/21 - John Sketchley	15 July 2019
14 Apr 2020	Internal Audit Q4 19/20	James Welbourn, Democratic and Civic Officer	To present the update for quarter 4 to G and A committee	21 February 2019
14 Apr 2020	Review of Member Development Programme	Ele Snow, Democratic and Civic Officer	To make a decision on the introduction of online development options for Members and agree the template	

			programme for By-Election Inductions.	
14 Apr 2020	Six Month Review of Strategic Risks	James O'Shaughnessy, Corporate Policy Manager & Deputy Monitoring Officer	To undertake and present the six-monthly review of the Council's strategic risks.	
11 May 2020	Annual Constitution Review	Katie Storr, Senior Democratic & Civic Officer	Annual review ahead of annual council in 2020	15 July 2019
16 JUNE 2020				
16 Jun 2020	Unaudited Statement of Accounts 2019/20	Caroline Capon, Corporate Finance Team Leader	Review of the Unaudited Statement of Accounts 2019/20	19 December 2019
16 Jun 2020	Internal Audit Annual Report 2019/20	James Welbourn, Democratic and Civic Officer	Annual Report from Assurance Lincolnshire	19 December 2019
16 Jun 2020	Draft Annual Governance Statement 2019/20.	James O'Shaughnessy, Corporate Policy Manager & Deputy Monitoring Officer	To present the Council's draft Annual Governance Statement for 2019/20.	
16 Jun 2020	Unaudited Statement of Accounts	Caroline Capon, Corporate Finance Team Leader	Review of the Unaudited Statement of Accounts	19 December 2019
21 JULY 2020				
21 Jul 2020	Audited Statement of Accounts 2019/20	Caroline Capon, Corporate Finance Team Leader	To review and sign off the 2019/20 Statement of Accounts	19 December 2019
21 Jul 2020	EXTERNAL AUDIT REPORT - ISA 260	Tracey Bircumshaw, Strategic Finance and Business Support Manager	External Auditor, Mazars will present their ISA260 report relating to our Statement of Accounts 2018/19	10 June 2019
21 Jul 2020	Member Development Annual Report	Ele Snow, Democratic and Civic Officer	To provide a summary of Member Development over the previous 12 months, including progress made with	

the actions agreed by the Member Development Group.
To review and approve the priorities for Member Development for the coming year.

21 Jul 2020	Annual Governance Statement 2019/20. Closure of the Annual Governance Statement 2018/19 Action Plan.	James O'Shaughnessy, Corporate Policy Manager & Deputy Monitoring Officer	To present the Council's Annual Governance Statement for 2019/20. To update progress against the Annual Governance Statement 2018/19 Action Plan.	
21 Jul 2020	Internal Audit Quart 1 2020/21	James Welbourn, Democratic and Civic Officer	Report from Assurance Lincolnshire	19 December 2019
13 OCTOBER 2020				
13 Oct 2020	Internal Audit Report Quarter 2 20/21	James Welbourn, Democratic and Civic Officer	From Assurance Lincolnshire	19 December 2019